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Approved for use through 10/31/2002. OMB 0651-0001

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application	09/618,178
		Filing Date	18 July 2000
		First Named	LINCOLN, Stephen E.
		Group Art Unit	1655
		Examiner Name	FREDMAN, Jeffrey N.
Total Number of Pages in This Submission		Attorney Docket Number	13151-2

### ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____  <input type="checkbox"/> Remarks	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  <b>Two Citations Receipt Acknowledgement Postcard</b>
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### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	J. David Ellett, Jr.	
Signature		
Date	11 March 2003	

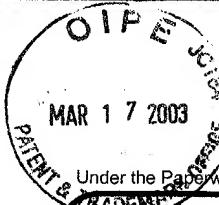
### CERTIFICATE OF MAILING

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	Date	11 March 2003

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PTO/SB/17 (1-03)  
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# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$)  
**\$180.00**

## Complete if Known

Application Number	09/618,178
Filing Date	18 July 2000
First Named Inventor	LINCOLN, Stephen E.
Examiner Name	FREDMAN, Jeffrey N.
Group Art Unit	1655
Attorney Docket No.	13151-2

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## METHOD OF PAYMENT (check all that apply)

Check  Credit card  Money Order  Other  None  
 Deposit Account:

Deposit Account Number  
**11-0171**

Deposit Account Name  
**Kalow & Springut LLP**

The Commissioner is authorized to: (check all that apply)  
 Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Description	
1051 750	2001 375	Utility filing fee	
1002 330	2002 165	Design filing	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing	
1005 160	2005 80	Provisional filing fee	
<b>SUBTOTAL (1) (\$)</b>		<b>\$0.00</b>	
1251 110	2251 55	Extension for reply within first month	
1252 410	2252 205	Extension for reply within second month	
1253 930	2253 465	Extension for reply within third month	
1254 1,450	2254 725	Extension for reply within fourth month	
1255 1,970	2255 985	Extension for reply within fifth month	
1401 320	2401 160	Notice of Appeal	
1402 320	2402 160	Filing a brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,300	2453 650	Petition to revive - unintentional	
1501 1,300	2501 650	Utility issue fee (or reissue)	
1502 470	2502 235	Design issue fee	
1503 630	2503 315	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR § 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Statement	180.00
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 750	2809 375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810 750	2810 375	For each additional invention to be examined (37 CFR § 1.129(b))	
1801 750	2801 375	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	
Other fee (specify) _____			

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$)**

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## SUBMITTED BY

Name (Print/Type)	J. David Ellett, Jr.	Registration No. (Attorney/Agent)	27,875	Telephone	(212) 813-1600	
Signature					Date	11 March 2003

Complete (if applicable)

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of

Applicants: LINCOLN, Stephen E. and  
KNAPP, Michael R.

:  
: Examiner: FREDMAN, Jeffrey N.

Serial No.: 09/618,178

:  
: Art Unit: 1655

Filed : 18 July 2000

:  
:

Title : Automatic Genotype Determination

:  
:

Kalow & Springut LLP  
488 Madison Avenue, 19<sup>th</sup> Floor  
New York, New York 10022

11 March 2003

Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR 1.97(c)**

SIR or MADAM:

Pursuant to 37 CFR 1.97 (c), the attorneys for the applicants hereby bring to the attention of the Patent and Trademark Office the following publications broadly related to the field of the invention of the application identified above:

**Other Documents**

Dempster, A.P.; Laird, N.M.; and Rubin, D.B. *J. Roy. Statist. Soc.*, volume B39, pages 1-38 (1977);

Little, R.J.A. and Rubin, D.B., *Statistical Analysis with Missing Data* (John Wiley & Sons, 1987) Pages 3-20, 79-96, and 127-141.

03/20/2003 HLE333 0000046 09618178

01 FC:1806

180.00 DP

Applicants : LINCOLN, Stephen E. and KNAPP, Michael R.  
Serial No.: 09/618,178  
Filed : 18 July 2000  
Page 2

The items listed above are identified on Form PTO/SB/08 which is submitted with this statement. A copy of each of the items identified above is submitted with this statement.

The attorneys for the applicants take no position on whether or not any item cited above and listed on Form PTO/SB/08 constitutes prior art against the subject application under any particular provision of Title 35 of the United States Code.

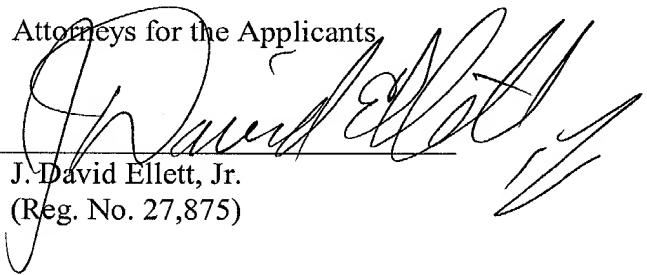
Pursuant to 37 CFR 1.97(c) and 1.17(p), the official fee for submission of an information disclosure statement at this time is estimated to be one hundred eighty dollars (\$180.00). A check in the estimated amount is submitted with this statement in payment for the required official fee. Please charge any deficiency in the payment submitted for the required fee, or credit any overpayment, to deposit account No. 11-0171.

Respectfully submitted,

Attorneys for the Applicants

By

J. David Ellett, Jr.  
(Reg. No. 27,875)



Telephone: (212) 813-1600

MAR 17 2003

PTO/SB/08B (10-01)

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Substitute for form 1449B/PTC

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(use as many sheets as necessary)*

Shee

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***Complete if Known***

**Application Number** 09/618,178

**Filing Date** 18 July 2000

**First Named Inventor**

Group Art Unit 1655

Examiner Name **FREDMAN,**

Attorney Docket Number | 13151-2

Attorney Docket Number 13151-2

## OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner  
Signature

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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